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Preparations of Two Pivotal Intermediates for the Synthesis of 1-β-Methyl Carbapenem Antibiotics

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1. Introduction

The carbapenem class of antibiotics is an important group of drugs and the object of ongoing pharmaceutical development. In particular, carbapenems bearing a 1-β-methyl substituent, exemplified by meropenem² and biapenem,³ have an excellent spectrum of activity and good resistance to renal dehydropeptidase I (DHP-I).⁴

MEROPENEM BIAPENEM

The most significant synthesis of this class of antibiotics was pioneered at Merck by Shih et al.,⁵ who established 2 as a key intermediate for the synthesis of 1- β -methyl carbapenems. The Merck synthesis is depicted generically in Scheme 1. The starting point for this approach is 1, (3R,4R)-4-acetoxy-3-[(R)-1'-((t-butyldimethylsilyl)oxy)ethyl]-2-azetidinone, which is elaborated to 2, (3S,4S)-[(R)-1'-((t-butyldimethylsilyl)oxy)ethyl]-2-azetidinone, containing the four contiguous stereogenic centers of the 1- β -methyl class of carbapenems. The C-4 isopropionate side chain is extended and subjected to an intramolecular cyclization to give 3, and then carried forward to phosphate 4, which can be reacted with thiols and deprotected to give the desired products. In addition its utility for 1- β -methyl carbapenems, 1 is also a common starting material for the synthesis of other carbapenems without the 1- β -methyl group and for penem and cephem antibiotics.

Despite a considerable body of literature describing synthetic routes to 1 and 2, approaches to these

azetidinones have not been well reviewed, and seem to be limited to a 1989 Japanese language paper and a subsequent 1993 chapter of approaches to 2.6 The present report deals comprehensively with the syntheses of these important structures.

2. Routes to Acetoxy Azetidinone 1

The earliest references to azetidinone 1 are from the Sankyo Co., Ltd., and employed penicillanate esters as the chiral source, as shown in Scheme 2.7 Two approaches were reported by this group. In method A, dibromopenicillanate 5 (R=benzyl), obtainable from the fermentation product 6-aminopenicillanic acid, was transmetallated with methyl Grignard reagent and treated with acetaldehyde, followed by zinc-silver couple, which reduced the second bromine, to give $7 (R^1 = H)$ as a 7:3 diastereomeric mixture. The major isomer was the 8-(R)-5,6-trans diastereomer as illustrated, with some of the undesired 8-(S)-5,6-trans diastereomer. The mixture was silvlated to give penicillin 7 (R1 = TBDMS), which was simultaneously cleaved and acetoxylated with mercuric acetate to furnish 8 enriched in the diastereomer shown. Dealkylation of the nitrogen was accomplished with permanganate and periodate to give 1, which was obtained pure by crystallization (23% overall yield and five steps from 5). The same Sankyo group also reported method B, starting with 6βbromopenicillanate 9, also obtained from 6-aminopenicillanic acid, which was cleaved with trimethyloxonium tetrafluoroborate and subjected to an aluminum mediated condensation with acetaldehyde to afford 10 as a 3:1 diastereomeric mixture, with the wrong diastereomer predominating. The diastereomeric mixture of 10 was inverted under Mitsunobu conditions (triphenyl phosphine and diethyl diazodicarboxylate in the presence of two equivalents of benzoic acid), and then was debenzoylated with methanolic sodium hydroxide, to give 10 with the desired 8-(R) configuration predominating. As in method A, the mixture was silylated, treated with mercuric acetate, and the nitrogen was deprotected with permanganate and periodate in buffer to afford pure crystalline 1 in 15% overall yield and five steps. To This series of publications concluded that method B was superior despite the lower overall yield because the yield of starting material 9 (from 6-aminopenicillanic acid) was reported to be higher than the yield of starting material 5, and also because the zinc-silver debromination step in method A was said to be troublesome.

Hirai of Sankyo later improved method A of Scheme 2 by using the methyl ester of compound 5 (R=CH₃).8 This modification improved the diastereomeric ratio at C-6 to 5:1 after the condensation with acetaldehyde, and allowed a greatly improved debromination step, without the use of silver. They obtained 1 in about 23% overall yield. Work essentially identical to that of Hirai was reported by Leanza and DiNinno of Merck⁹ and also by Goo at Seoul National University in South Korea.¹⁰

Martel of Bristol-Myers disclosed a variation on method A in Scheme 1, but employing 11, obtained by known methods from 6-aminopencillanic acid, as a key intermediate (Scheme 3).¹¹ This method had a higher

Scheme 2

R/S 1:3 at C-8 overall yield, 54%, and also gave a very good diastereomeric ratio of about 40:1 in the crucial acetaldehyde condensation. However, this sequence employed the less desirable zinc-silver couple bromide reduction. Dibromopenicillanic acid 5 (R=H), was rearranged to anhydrodibromopenicillin 11, which was transmetallated with methyl Grignard reagent and then condensed with acetaldehyde to furnish 12. Protection of the alcohol and reduction of the C-6 bromine gave 13, which was manipulated to 1 by oxidative methods similar to those employed by the Sankyo group outlined in Scheme 2.

Another early approach to 1, by Reider of Merck, started from the azetidinone without the C-3 hydroxyethyl side chain and relied on the C-4 configuration to control the stereochemistry of the assembly of the side chain (Scheme 4).¹² The N-protected azetidinone 14, derived from L-aspartic acid, was treated with two equivalents of lithium diisopropylamide and then acetaldehyde to afford an epimeric mixture of alcohols Scheme 3

Scheme 4

(15). Oxidation and stereospecific reduction furnished 17,13 which was oxidized with lead tetraacetate under conditions that simultaneously caused decarboxylation, installed the acetoxy group, and desilylated the nitrogen, to give 18.

A significant alternative to the lead tetracetate transformation of C-4 acids, such as 17, to the C-4 O-acetates, such as 18, is an electochemical oxidation method developed by Mori and Shibasaki at Hokkaido University (Scheme 5).¹⁴ The first part of this scheme is very similar to Scheme 31. The boron enolate 19 was combined with imine 20 in the presence of base to afford 21, which was cyclized to 22⁶³ and deprotected with ceric ammonium nitrate. A two-step procedure of silylation and selective N-desilylation with tetrabutyl ammonium fluoride, followed by oxidation of the alkyne, furnished acid 24. Electrolysis of the acid in an acetate solution gave 1 with the desired stereochemistry, in 16% overall yield and seven steps.

A formal [2+2] cycloaddition involving Schiff bases, such as in Scheme 5, has been employed on several other occasions for constructing the azetidinone ring and has demonstrated excellent regio- and stereoselectivity. Cainelli and Panunzio, of the University of Bologna, employed the chirality of ethyl (S)-3-hydroxybutyrate as shown in Scheme 6 to stereospecifically obtain azetidinone 27.15 A condensation between the dianion of ester 25 and imine 26 gave azetidinone 27, with the correct configuration at C-3. Inversion of the hydroxy group under Mitsunobu conditions and silyl protection of the alcohol afforded 28. Ozonolysis of the styryl moiety and oxidation with a novel polymer bound hypochlorite reagent provided 29, which was converted to 30 with lead tetracetate in a similar fashion to the last step of Scheme 4, although under milder conditions that preserved the nitrogen protecting group. Overall, the yield to 30 was 18% in six steps.

A virtually identical approach was reported by Georg of the University of Kansas, as shown in Scheme 7.16 A 1:1 mixture of diastereomers of azetidinone 32, formed analogously to 27 (cf. Scheme 6), was

subjected to a Mitsunobu inversion sequence to give 33. It is not clear why 32 was reported as a mixture of diastereomers when there is no mention of diastereomerism in 27, which was formed under very similar circumstances. Silylation of the hydroxy group and osmolysis gave 34 as a single diastereomer, which was further oxidized to the corresponding acid and treated with lead tetraacetate to introduce the C-4 acetoxy group. Dealkylation of the nitrogen was accomplished with ceric ammonium nitrate to afford 1 in 58% overall yield and eight steps from the (S)-hydroxybutyrate.

In a similar vein, Nakai of the Tokyo Institute of Technology, and in collaboration with Fujisawa, published an approach to 1 that started from methyl (*R*)-3-hydroxybutyrate (Scheme 8).¹⁷ Ester 36 was condensed with alkynyl imine 37 in the presence of lithium hexamethyldisilazide to give azetidinone 38 with the undesired configuration at C-3.^{17a} This problem was rectified by sequential protection of the lactam nitrogen, oxidation of the alcohol to the ketone, which concomitantly isomerized C-3 to the correct epimer, and selective reduction of the C-3 side chain with K-Selectride[®].¹³ After two functional group manipulations the silyl alkynyl group was hydrolyzed to ketone 41, which was carried forward to 1 with a Baeyer-Villiger oxidation.¹⁸ Subsequently, a superior epimerization of C-3 was reported, involving the lactam silyl enol ether 42.^{17c,d} Silylation of alcohol 38 with TBDMSCl and imidazole, followed by treatment with trimethylsilyltriflate and triethylamine, furnished an excellent yield of alkyne 40 after acidic hydrolysis in situ. An alternative procedure started with ethyl (*S*)-3-hydroxybutyrate (43),^{17b} which was condensed as before with 37 to furnished the correct (*R*) configuration at C-3 of the azetidinone 44. A Mitsunobu inversion of the hydroxy, and then hydrolysis of the ethynyl group and silyl protection of the alcohol resulted in 45, which is potentially useful but has the wrong configuration at C-4 of the azetidinone. The Baeyer-Villiger oxidation product of 45, acetate 105 (see Scheme 16 and eq. 2, infra), has been isomerized to 1.²⁸

Terashima, from the Sagami Chemical Research Center, derived the azetidinone C-3 configuration from

(S)-ethyl lactate and utilized a [2+2] cyclization with diketene to form the azetidinone ring (Scheme 9).¹⁹ (S)-Ethyl lactate **46** was condensed with pyrollidine, followed by benzyl chloride, to afford amide **47**, which was then reduced to aldehyde **48**. The condensation of **48** with di-p-anisylmethylamine (DAM-NH₂, **49**) gave **50**, which was not isolated, but was immediately combined with diketene (**51**) giving **52a** and **52b** as an 8:1 mixture separated by chromatography. The major diastereomer **52a** was stereoselectively reduced to a single alcohol, **53**.¹³ Protection of the alcohol, hydrogenation, and oxidation of the resulting secondary alcohol gave ketone **55**, which was then subjected to oxidative removal of the DAM protecting group. Baeyer-Villiger oxidation of **41**¹⁸ furnished **1** in 41% overall yield in 11 steps.

A group from Farmitalia S.p.A. published an approach involving the opposite synthetic analysis to that in Scheme 9, by generating a chiral ketene and reacting it with an achiral Schiff base (Scheme 10).²⁰ Acid chloride 56, which was derived from D-allo-threonine, was treated with base to generate ketene 57 in situ, and subsequent alkylation with the achiral imine 58 afforded azetidinone 59 (R=Br). Known procedures can be employed to carry 59 forward to 1, involving dehalogenation, nitrogen deprotection, and transformation of the ester to the acetoxy with the correct C-4 configuration.

Terashima developed another preparation of 1 relying on the [2+2] addition of chlorosulfonyl isocyanate, which gave 64 with very good selectivity. Unfortunately, the yields for the overall method, depicted in Scheme 11, were disappointing.²¹ (R)-3-Hydroxybutyric acid (60) was condensed with (S)-benzyloxypropanal (61) to furnish dioxanone 62, which was transformed to dioxin 63 and treated with chlorosulfonyl isocyanate to give 64 in moderate yield but with excellent stereoselectivity; other isomers comprised no more than 2% of the product mixture. Hydrogenation and oxidation provided ketone 65. At this point, two methods were explored to oxidatively open the dioxane ring. Treatment of 65 with a peroxyacetic acid under protic conditions afforded formyl ester 66 in very good yield, whereas aprotic conditions furnished dioxanone 67 in rather poor yield. Unfortunately, hydrolysis of 66 under basic conditions resulted in decomposition of the azetidinone ring. Under acidic conditions, the yield of 68 from 66

was a disappointing 25%. Conversely, 68 was available from 67 in excellent yield, but as just noted, 67 was only obtained in poor yields. This dilemma was not resolved in this study; however, both 66 and 67 could be converted to 2 in good yield via a Reformatsky condensation (see eq. 4, infra).⁴⁴ The overall yield to 1 was 8.0% in nine steps.

Ishiguro of Suntory, Ltd., reported the method shown in Scheme 12,22 which had significant similarities to the Terashima method of Scheme 11, relying on essentially the same chiral source, and also utilizing a formal [2+2] cycloaddition of chlorosulfonyl isocyanate. Starting from 1,3-(R)-butanediol 69, readily available by microbial transformation, the Suntory team prepared thioether 70 in three steps. Treatment with N-chlorosuccinamide followed by lithium carbonate furnished vinyl sulfide 71 as a 2.5:1 E/Z mixture. Cycloaddition of chlorosulfonyl isocyanate in ether and removal of the chlorosulfonyl group afforded a 2:1 ratio of thioazetidinones 72 and 73, from which the desired 72 was isolated in crystalline form. Both pure isomers of 71 gave 72 as the major cyclization product in this reaction, so there was no advantage to isolation

of the pure diasteromers of 71. Solvolysis of 72 through the agency of copper (II) acetate, instead of the mercury (II) salts previously employed for the transformation of C-4 sulfides to the acetate (cf. Schemes 2 and 3), was a significant advance in the industrial utility of these types of sulfide intermediates. Azetidinone 1 was obtained in six steps and 31% overall yield. In the Japanese patent literature this approach from Suntory also discloses the use of other copper compounds having an affinity for sulfur, such as copper oxide, 23a cupric oxide, 23a or copper bromide dimethyl sulfide complex, 23b to displace phenyl sulfides, such as 72, with alkoxy or acyloxy groups.

An alternative synthesis of phenylthioazetidinone 72 was reported by Kita of Osaka University and carried forward to 1 by oxidation to the sulfoxide and a silyl transfer reaction (Scheme 13).²⁴ Ethyl (R)-3-hydroxybutyrate 77 was transformed into olefin 78, which was protected and combined with thiophenol in Michael addition fashion to provide the mixture of diastereomers 79 and 80.^{24a} This mixture was amidated with 2,4-dimethoxybenzylamine hydrochloride and was oxidized with NaIO₄ to sulfoxide 81. A silicon induced Pummerer type reaction effected the cyclization to azetidinone 82. Oxidative deprotection furnished thioether 72, which was oxidized to sulfoxide 83 and treated with trimethylsilyl acetate and catalytic zinc iodide in acetonitrile to give 1.^{24b} The overall yield to 1 was 7.6% in 11 steps, but the yield does not include the removal of the undesired isomer arising from 80, which was not explicitly discussed.

Sasaki of Sumitomo Pharmaceuticals developed a synthesis of 1 proceeding through the key intermediate 89, and stereospecifically introducing the 1'-hydroxy group via hydroxy mercuration (Scheme 14).25 Two routes were investigated for obtaining (+)-89: resolution of chiral esters (90 and 91), and an asymmetric [2+2] cycloaddition, employing d-menthol esters 94 and 95. Di-p-anisylmethylamine (DAM-NH₂, 49) was condensed with n-butyl glyoxalate (86), and the resulting imine 87 was combined with crotonyl chloride to give the [2+2] cycloadduct 88, in 94% yield based on the DAM-NH₂. Saponification concomitantly epimerized C-3 of the azetidinone to furnish racemic 89, which was esterified with *l*-menthol to give a 1:1 mixture of crystalline esters 90 and 91. Pure 90 was obtained by recrystallization from methanol, although in just 18% yield from 89. No mention was made of recovery of additional 90. Enantiomerically pure (+)-89 was regenerated by saponification of the desired ester 90. Alternatively, di-p-anisylmethylamine was condensed with d-menthyl glyoxalate 92 and the resulting Schiff base was treated with crotonyl chloride as before to give cycloadducts 94 and 95 in a 2:1 ratio. After chromatographic separation of these menthyl esters, the desired 94 was obtained pure by recrystallization from methanol, although the yield of pure 94 was not provided. Saponification of 94 epimerized C-3 of the azetidinone as before to furnish (+)-89, as above. With pure (+)-89 available, four transformations were required to obtain 1, and the authors stated that the sequence at this stage was not critical, however the one shown was explicitly discussed. The oxymercuration reaction leading to 96 was studied in detail, and the transformation shown was found to proceed with 80:1 stereoselectivity. Oxidative decarboxylation, protection of the hydroxy group, and oxidative deprotection of

the nitrogen afforded the final product. By the resolution method via 90, the sequence employed eight steps and provided 1 in 4.67% yield overall. This yield includes the low 18% recovery of pure 90. For the asymmetric synthesis route via 94, a comparable yield cannot be given with the data provided. Assuming 100% recovery of 90 or 94, respectively, the yields would be 9.47% and nine steps via the resolution method and 18.9% in eight steps via the assymetric synthesis. A favorable feature of this work is the potential for recovery of the chiral auxiliary, by either route studied. However, the chiral induction leading to 94 was minimal.

A relatively short route to azetidinone 1 was reported by Ohashi of Kanefaguchi Chemical Industries, starting from aldehyde 97, which is derived from (R)-3-hydroxybutyrate 36 (Scheme 15),²⁶ and utilizing a condensation with chlorosulfonyl isocyanate in an azetidinone formation step similar to that of the Sagami

١

group (discussed in Scheme 11). The readily obtainable (R)-3-hydroxybutyrate esters such as 36 were protected with a bulky silyl ether and partially reduced to aldehyde 97. Silylation afforded the enol ether 98. The E/Z ratio was important at this point, because the Z isomer leads in the next step to the undesired 3-S isomer of azetidinone 100. There was no specific discussion of factors affecting the E/Z ratio of 98, but several silyl enol ethers were reported in the examples, and the trimethyl silyl enol ether shown had the highest E/Z ratio of those indicated in the examples. A formal [2+2] cyclization of 98 with chlorosulfonyl isocyanate and reduction of the N-sulfonyl gave 100. Of several reducing agents examined, sodium bis (2-methoxyethoxy)aluminum hydride afforded superior yields of 100 from 99. Sequential protection of the nitrogen, displacement of the C-4 silyl ether with acetate, and deprotection furnished 1 in 19% overall yield from 97. An alternative procedure for the transformation of 100 to 1 in 35% yield was reported using acetyl nitrate, prepared in situ from acetic anhydride and nitric acid. 26f This scheme compensates for low yields with simplicity, requiring just three reaction vessels from 97. A significant theme that is the subject of many patents on this scheme is the avoidance of heavy metal reagents (such as Hg(OAc)₂ or Pb(OAc)₄) in the introduction of the acetoxy group (i.e., the transformation of 100 to 1). 26e-h

A significant tactic for construction of appropriate azetidinone systems leading to 1 is an intramolecular nucleophilic attack on an oxirane intermediate, e.g., 111. This method was used by Shiozaki, of Sankyo, who synthesized 1 and its cis (1'R,3R,4S) diastereomer 105 starting with D-allo-threonine, and later, Lthreonine (Scheme 16).²⁷ The intramolecular cyclization efficiently installs two (e.g., 103) or three (e.g., 112) of the asymmetric centers with the correct configuration. Amide 102, derived from D-allo-threonine, 101, was cyclized with DBU to give diester 103.272 One of the ethyl esters in 103 was highly susceptible to saponification, and the resulting hydroxy acid was decarboxylated with collidine. Further saponification and treatment with catalytic acid furnished lactone 104. This lactone was treated with methyl Grignard reagent, the resulting alcohol was protected, and the nitrogen was dealkylated with potassium peroxodisulfate to give the penultimate ketone 45. Oxidation with MCPBA18 gave 105 in 17% overall yield from 102. Azetidinone 105 is potentially useful, but a method has been reported for epimerizing 105 to 1 (eq. 1).²⁸ Alternatively, 103 was completely saponified to 106, which was decarboxylated and converted to 107. Treatment with aqueous DBU cleaved the t-butyl ether, and trifluoroacetic acid hydrolyzed the t-butyl ester to afford acid 108. Sequential alcohol protection, methylation via the acid chloride, and oxidative cleavage of the dimethoxybenzyl group furnished ketone 41. Baeyer-Villiger oxidation 18 then provided 1 in 12% overall yield from 102. This approach was optimized employing 110,29b,27c obtained from L-threonine by straightforward chemistry. Exposure of 110 to two equivalents of lithium hexamethyldisilazide afforded 112 in 61% yield, via the optionally isolable epoxide 111, and the action of TFA then gave 108. This modification improved the yield of 1 from 110 to 16%.29d

A related tactic for assembly of the azetidinone was reported by Hanessian, in work very similar to that of Shiozaki's group at Sankyo but with fewer steps and superior yields (Scheme 17),30 in a sequence that correctly constructed the three contiguous stereogenic centers in the cyclization step, leading to 114. Epoxide 113, which is very similar to 111 and derived from L-threonine in a two step process in 49% yield, was efficiently cyclized to azetidinone 114 on treatment with base. The hydroxy was then protected, the nitrogen was dealkylated with ceric ammonium nitrate, and the ketone oxidized to the ester with monoperpthalic acid, to give 115 in 24% yield from L-threonine in just six steps. Hanessian carried 115 forward to a penem. A Japanese patent has disclosed the conversion of 115 to 1, with retention of configuration, with acetate and a phase transfer catalyst in a two-phase solvent system (eq. 2).31

A similar azetidinone formation reaction was employed by Bonini (Scheme 18), but with a different approach to the epoxy intermediate, deriving chirality from the Sharpless method.³² The monoprotected E-butendiol 116 was submitted to Sharpless chiral epoxidation conditions to give 117, and oxidation of the free alcohol with ruthenium and periodate, followed by condensation with anisyl ester 118, furnished epoxide 119. Treatment with lithium hexamethyldisilazide and desilylation provided 120. Selective sulfonylation of the primary alcohol and displacement of the napthylsulfonyl group furnished epoxide 121, which was ring opened to 122 under novel free radical conditions. Sequential silylation of the free alcohol, hydrolysis of the *t*-butyl ester, and acetoxylation with lead tetraacetate gave 123 in 5.0% overall yield and 11 steps. N-Anisyl protected azetidinones like 123 have been converted to 1 with ceric ammonium nitrate (e.g., Scheme 17, above).

A significant motif for synthesizing 1 constructs 128, the azetidinone with no substitution at C-4, and introducing the acetoxy in the last step. Noyori, of Nagoya University, and several others supported by the Japanese firm Takasago International Corp., reported the sequence in Scheme 19.33 The chirality in 1 comes completely from the chiral catalyst used in the first step. Racemic 2-methylamido-3-oxobutanoate 124 was

reduced with catalytic (R)-(-)-BINAP-Ru complex to furnish the syn-(2S,3R) alcohol 125 in very good yield and excellent enantioselectivity.^{33a,c} Hydrolysis of the amide with aqueous hydrochloric acid and the ester with triethylamine in acetonitrile provided the β -amino acid 126, which was cyclized to azetidinone 127 with triphenylphosphine and pyridyl disulfide. Protection of the alcohol gave 128, which was then acetoxylated with peroxyacetic acid and catalytic ruthenium chloride,^{33b,d,e} to give 1 in 50% overall yield and six steps. The Takasago group has also disclosed an oxidation of 128 to 1 with catalytic (2 mole%) osmium trichloride trihydrate, peroxyacetic acid, and sodium acetate, which proceeded in 92% yield.^{33f}

Evans also published a preparation of 128 (Scheme 20),³⁴ using the dibutylboryl enolate of oxazolidinone 129. A highly selective condensation of 129, derived from L-valine, with acetaldehyde and protection of the alcohol gave the silyl ether 130. Ozonolysis, oxime formation, and reduction gave hydroxylamine 131 (not isolated) which was cyclized to the isoxazolidinone 132. Hydrogenolysis and azetidinone formation with pyridyl disulfide furnished 128 in 52% overall yield and eight steps from 129.

A similar route, employing thiazolidin-2-thiones rather than oxazolidinones, was reported by Nagao of the University of Tokushima and Lederle Japan (Scheme 21).³⁵ The (R)-thiazolidinethione 134, obtained in 86% yield from 3-(benzyloxy)propionic acid and (4R)-isopropyl-1,3-thiazolidin-2-thione, was enolized with tin(II) triflate and N-ethylpiperidine and condensed with acetaldehyde to give 135 after silylation of the

hydroxy group. The aldol condensation was rationalized to proceed via a chairlike transition state and a Z-enolate. The thiazolidinethione was cleaved with p-anisidine, and hydrogenated to furnish 136. Mesylation of the primary alcohol and cyclization provided 137, which was converted to 128 with ceric ammonium nitrate in 46% yield and seven steps. Note that the nitrogen of azetidinone comes from the chiral auxiliary, thus sacrificing the auxiliary group.

A sketchy route to 128 from (R)-3-hydroxybutyrates was disclosed by Fujisawa Pharmaceuticals with experimental detail provided only for the last two steps (Scheme 22).36 The (R)-3-hydroxybutyrate 138 (R not specified) was condensed with formaldehyde and the resulting diol 139 was protected as the acetonide and isomerized to the desired stereoisomer shown (the isomerization method was unspecified, but likely was with base, which equilibrated 140 to the *trans* configuration). The acetonide was liberated with acid, and the primary alcohol was mesylated to give 141. The secondary alcohol was protected, and the ester was converted to amide 142, which was then cyclized to azetidinone 143 with potassium carbonate in acetone. Electrolysis of 143 furnished 1 with the desired stereochemistry. This reference provided both a novel route to 143, which is a congener of 128, and a potentially desirable alternative to the previously discussed oxidations of 128 involving ruthenium or osmium reagents, which are toxic.

An approach to 128 was published by Banfi at Genova University, starting from aldhyde 144, derived from assymetric bis(hydroxymethyl)acetaldehyde ("BHYMA*"; Scheme 23).37 The differentially protected diol 144 was alkylated with dimethyl lithium cuprate in good yield and diastereoselectivity, and the resulting secondary alcohol was protected with tri-iso-propylsilyl triflate to give 145. Selective deprotection of the TBDMS ether was achieved with PTSA in isopropanol, and the resulting primary alcohol 146 was oxidized and converted to benzylhydroxamate 147. Oxidative removal of the PMBOM group furnished 148, which was cyclized to azetidinone 149 with triphenylphosphine and diethyl diazodicarboxylate. In two steps, the

1. Me₂CuLi

Scheme 23

OTBDMS

silyl protecting group was converted to the TBDMS ether, and then hydrogenolytic debenzylation and dehydration of the intermediate N-hydroxy product with titanium trichloride afforded 128 in 23% overall yield and 11 steps.

Lynch of Merck reported a highly stereoselective approach (Scheme 24) to 1 starting from methyl (R)-3-

hydroxybutyrate and involving the key intermediate 154 bearing a furan moiety, which was elaborated to the required acetoxy with singlet oxygen, 38 or by an electrochemical reduction. 38c The Z-silylketene acetal 150, generated from 36 as a single isomer, was condensed with imine 151 with TMSOTf catalysis to give furan 152 as the only stereoisomer detected. The hydrochloride salt of 152 was hydrogenated and then saponified, both under carefully controlled conditions to prevent decomposition of the furan or epimerization, to furnish acid 153, which was then cyclized and protected to give the key intermediate 154. Treatment of 154 with singlet oxygen, generated photochemically with methylene blue as sensitizer, led to intermediates postulated to be diastereomers of endoperoxide 155. This intermediate rearranged to the acyloxyazetidinone 156, with retention of the C-4 configuration, consistent with a Baeyer-Villiger type of mechanism. Treatment of 156 with potassium acetate furnished 1, but in a disappointing yield from 154. Overall, this method gave 1 in 7.8% overall yield and eight steps.

Alternatively, furan 154 was oxidized to acid 157 in a two-phase system, and converted to 1 electrochemically. Recommendate Acid 157, the epimer of 24 (Scheme 5), was obtained in crystalline form, and electrochemical displacement of the acid was accomplished under very similar conditions to that of Mori and Shibasaki (cf. Scheme 5). Note that both C-4 epimers of the azetidinone carboxylic acid (i.e., 24 and 157) gave the same stereoisomer in the electrolysis, suggesting an S_N1 type of mechanism in the electrolytic displacement of the carboxylate with an acetoxy group. The overall yield of this transformation of 154 to 1 was 28%, which is only a minor improvement over the method proceeding via 156. To date, the furan moiety of 154 has unfortunately proven unamenable to elaboration to 1 in high yields.

3. Routes to β-Methyl Azetidinone 2

Azetidinone 2 is the next key intermediate in the Merck carbapenem synthesis in Scheme 1. Although the original synthesis of 2 was rather crude (cf. Scheme 32), many elegant methods have since been developed. The majority of routes to 2 have involved an enolate addition to 1. Another popular approach has been asymmetric hydrogenation of terminal olefinic precursors. Finally, a panoply of miscellaneous approaches to 2 will be discussed.

3.1. Enolate Addition to Azetidinone 1

The enolate addition approaches to the synthesis of 2 can be drawn schematically as shown in eq. 3:

where an enolate 158 is added to 1 to produce 159 with the desired β -methyl stereochemistry shown. Alternatively, the corresponding Reformatsky type process has been employed. The results of this approach are summarized in Table 1 based on the nature of R¹, the metal or Lewis acid used in the enolization, and the stereochemical outcome in terms of the β : α ratio of the methyl group. A key feature of these reactions is that

the enolates 158 generally add to 1 to give the required S epimer at C-4 of the azetidinone ring with complete specificity. This outcome is presumably because 85 is the reactive intermediate. 48a,35,39 Because of the chirality of 1 and 85, there is no absolute requirement that 158 also be chiral in order to achieve good selectivity in the adduct 159. In some cases, 158 is chiral, but in other cases with excellent selectivity, it is not. Authors who have commented on transition states leading to a selective outcome suggest that the Z enolate 158 (shown) gives a Felkin chair type transition state which provides the observed stereochemistry. A generic transition state is shown as 160.40 This example shows an oxazolidinone type of auxiliary, and provides for a rigid system with the isopropyl and TBDMS groups in equatorial orientations to the pseudo [3.3.1] system at the core of 160.

In Table 1, entry A is taken from a very recent report from the Tanabe Seiyaku Co.⁴¹ The example shown is the only one for which experimental detail was provided. This group also examined replacement of the heterocyclic oxygen with sulfur or methylene, alternative substituents instead of the spiro cyclohexyl, and several substituents on the aromatic portion of the fused heterocycle. Entry B is a fragmentary reference from a Japanese patent.⁴² No specific data were provided in the abstract. Entries C-F are taken from a study by Sagami Chemical Research also involving a Reformatsky-type condensation with substituted oxazolidinones, which were achiral, except for entry E which was an epimeric mixture.⁴³ It can be seen that the diastereoselectivity in the series increased with bulk on the oxazolidinone ring, consistent with a (Z)-enolate and a kinetically controlled chair type transition state, such as 160. The Sagami group also reported a variation on the Reformatsky method, with 3'-formyloxy congeners of 1, as shown in eq. 4.⁴⁴ Azetidinone 66, discussed earlier in Scheme 11, was condensed with 161 (the same substrate as in entry F, Table 1; the α-bromo oxazolidinone of entry E was also studied but gave smaller β/α ratios with comparable yields) to give an

86% yield of 162, after base catalyzed hydrolysis of the formate ester and silylation of the resulting secondary alcohol. In addition, the cyclic carbonate 67 was studied (also from Scheme 11), but the β/α ratio of the resulting 162 was only 3.3:1, and the yield was only 69%, further adding to the disappointment of 67 as an intermediate. In terms of yield and selectivity, there was no advantage to this route compared to the use of 1 as starting material.

Entries G-J employed 1,3-thiazolidin-2-thiones, and this study by Nagao (University of Tokushima) and Lederle Japan found that the chiral (4S)-ethyl and isopropyl thiazolidin-2-thiones gave superior diastereoselectivity in the aldol condensation. This condensation utilized tin(II) enolates, generated from tin(II) triflate and N-ethyl piperidine, which forms the desired (Z)-enolate preferentially. A very closely related study was reported by Déziel of Bristol-Myers (entries K-L), who studied the thiazolidine and oxazolidine-2-thiones, with the 5,5-dimethyl substitution, and no substitution at the 5 position of the heterocycle (i.e., entries G and H were also studied). With entry K, Déziel obtained a somewhat higher diastereoselectivity than did Nagao. The results of Déziel for G and H were comparable to that of Nagao. Another example of the

Table 1. Stereospecific Enolate Additions to 1

141	Enolate or Precursor	Conditions	β:α ratio	Yield of 159	Yield 159 →2	Ref.	Corporate Affiliation
A	B _N	Zn powder	92:8	75%	76%	41	Tanabe Seiyaku
В	Pr +	t	t	t	t	42	Banyu Pharm
С		Zn dust	45:55	97%	53%	43	Sagami
D	By Nyo	Zn dust	79:21	94%	96%	43	Sagami
E	T'y'	Zn dust	91:9	99%	66%	43	Sagami
F	Brau Bu	Zn dust	23:1	95%	96%	43	Sagami
G		Sn(OTf) ₂ , N-B	4:1	73%	80%	45, 47b	Univ. Tokushima, Lederle Japan
н		Sn(OTf) ₂ , N-B	6.7:1	80%	80%	45	Univ. Tokushima, Lederle Japan
I	s s	Sn(OTf) ₂ , N-B	9:1	80%	80%	45	Univ. Tokushima, Lederle Japan
J		Sn(OTf) ₂ , N-B	11:1	74%	80%	45	Univ. Tokushima, Lederle Japan
к		Sn(OTf) ₂ , N-B	24:1	79%	89%	46	Bristol-Myers
L	J. S.	Sn(OTf) ₂ , N=B	9:1	75%	89%	46	Bristol-Myers
М	OSiMe ₃	Sn(OTf) ₂ , N-B	20:1	90%	72%	47	Tokyo Inst. Tech. Fujisawa

Table 1. (continued)								
	Enolate or Precursor	Conditions	β:α ratio	Yield of 159	Yield 159 →2	Ref.	Corporate Affiliation	
N	i,i,	TMSCI/LDA cat. Znl ₂	4:1	93%	†	48	Merck	
0	i,i.	Sn(OTf) ₂ ,	92:8	80%	t	48	Merck	
Р	i,i,	Et ₂ BOTf, iPr ₂ NEt, ZnBr ₂	≥99:1	t	73% (from 158)	48	Merck	
Q		Et ₂ BOTf, iPr ₂ NEt, BF ₃ •OEt ₂	84:16	95%	94% (nmr)	48	Merck	
R		C _{P2} BOTf, iPr ₂ NEt, ZnBr ₂	t	68%	t	49	Bayer	
s	J. sph	Et ₂ BOTf, iPr ₂ NEt, ZnBr ₂	1.4:1	18%	t	50	Sankyo	
Т	O Bu	Et ₂ BOTf, iPr ₂ NEt, ZnBr ₂	10:1	81%	t	50	Sankyo	
U		Et ₂ BOTf, iPr ₂ NEt, ZnBr ₂	15:1	56%	83%	50	Sankyo	
٧		Et ₂ BOTf, iPr ₂ NEt, ZnBr ₂	20:1	72%	t	50	Sankyo	
w	i ~ T	Et ₂ BOTf, iPr ₂ NEt, ZnBr ₂	60:1	75%	t	50	Sankyo	
x	J SPh	Et ₃ N, TBDMSOTf, ZnCl ₂	10:90	91%	t	51	Bristol-Myers	
Υ	\$ s \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Et ₃ N, TBDMSOTf, ZnCl ₂	8:92	37%	†	51	Bristol-Myers	
z	J _S	Et ₃ N, TBDMSOTf, ZnCl ₂	40:60	58%	t	51	Bristol-Myers	
AA	\$ 5~\\\	Et ₃ N, TBDMSOTf, ZnCl ₂	87:13	80%	t	51	Bristol-Myers	
BB	J ₅	LHMDS, TBDMSOTf, ZnCl ₂	>98:2	85- 90%	t	51	Bristol-Myers	

Table 1. (continued)

	nolate or recursor	Conditions	β:α ratio	Yield of 159	Yield 159→2	Ref.	Corporate Affiliation
∝ 、	J _{SPh}	LDA-Zr(Cp) ₂ Cl ₂	97:3	52%	†	52	Bristol-Myers
DD \	J ₅ ~	LDA-Zr(Cp) ₂ Cl ₂	87:15	47%	t	52	Bristol-Myers
EE 、	OBz	LDA-Zr(Cp) ₂ Cl ₂	1:2	20%	t	53	Bristol-Myers
FF 、	J _s k	LDA-Zr(Cp) _c Cl ₂	94:6	15%	†	53	Bristol-Myers
GG 、	OTMS OMe	TMSOTf	1:2.6	94%	t	54	Sankyo
нн 、	OTMS SPh	TMSOTf	1.6:1	81%	t	54	Sankyo
11	OTMS OMB	Znl ₂	1:1	t	t	17b	Fujisawa/ Tokyo Inst. Tech.

† not given

Mukaiyama tin(II) enolate chemistry is shown in entry M,⁴⁷ employing an achiral and acyclic enolate, with results comparable to the best of the tin(II) enolates.

The Fuentes group at Merck studied this reaction extensively, as summarized by entries N-Q,48 with the silyl, tin(II), and boron enolates of the 1,3-oxazolidine-2-ones. Fuentes also studied the Z:E ratios of the silyl and boron enolates, and obtained ratios as high as 99:1 for the enolate generated with TMSCl and LDA in THF at -78°C, and 98:2 for the enolate made with Et₂BOTf and Hünigs base in methylene chloride at -78°C. The optimal conditions for production of 159 in entry P were claimed to have good reproducibility, with excellent diastereoselectivity and good isolated yields. In addition, the oxazolidinone auxilliary was recovered in 90% yield. A report from Bayer AG employed the same Evans auxiallary used by Fuentes (entry R).49

Sugimura of the Sankyo & Co. obtained excellent results with the planar boron enolates generated from the amides shown in entries S-W.50 Although both the yield and selectivity were poor with the simple thiophenyl ester in entry S, the benzoxazolidinones and benzthiazolidinones in entries T-W gave very respectible yields and excellent diastereoselectivity in the aldol condensation, culminating in entry W. Only a representative example of the hydrolysis of the heterocyclic amide to furnish 2 was provided. For the adduct made with entry W, carbapenem 3 (Scheme 1) was obtained in one flask without the isolation of 2; additionally, another alternative route to the carbapenem nucleus was provided not involving isolation of 2.

Another efficient approach again involving an achiral enolate was from Martel of Bristol-Myers,⁵¹ who looked at a series of enolates generated from the thio esters represented by entries X-BB. Note that entry X employed the same substrate as entry S, but with the silyl enolate (entry X) the selectivity and yield was fairly good at providing the undesired α -methyl configuration. However, the use of a 2-pyridyl moiety (entry AA) turned the selectivity around in the direction of the desired β -methyl. The process was optimized with the 3-methyl-2-picolylthiopropionate in entry BB, giving >98% diastereoselectivity and very good yields. An earlier process by Kim of Bristol-Myers employed similar substrates (entries CC-DD),⁵² but used a zirconium enolate rather than a silyl enolate and a Lewis acid catalyst. Comparing entries X and CC, the use of zirconium completely turns around the stereochemical outcome of the reaction. However, the yields were relatively low, and in the case of the 2-pyridyl ester from entries AA and DD, the zirconium enolate employed in DD had no effect on the diastereoselectivity, but did had a deleterious effect on the yield relative to the silyl enolate used in

entry AA. Another study by Endo of Bristol-Myers,⁵³ studied the condensation of enolates of the two esters shown in EE and FF with seven Lewis acids. Most permutations examined by Endo gave diastereomeric mixtures of 159 with predominantly the α -methyl configuration, with the notable exception of entry FF, which gave moderate selectivity but very poor yield. Endo speculated that ketene formation following treatment of the esters with base led to the poor yields.

Finally, the oldest references in Table 1 are to work by groups at Sankyo & Co.⁵⁴ and Fujisawa Pharmaceuticals^{17b} published in 1985 (entries GG-II). These teams looked at simple enolates under conventional aldol conditions, and obtained poor selectivity.

3.2. Asymmetric Hydrogenation of Olefinic Azetidinone Derivatives

Another popular route to 1 and its congeners is asymmetric hydrogenation of the terminal olefin compound, 163 (eq. 5). In this family of reactions, summarized in Table 2, the carbon framework of 2 is

assembled in 163 with a prostereogenic center that is elaborated to 164 with four contiguous stereogenic centers by hydrogenation or hydride reduction. As in the enolate addition introduction of the β -methyl moiety, both chiral and achiral reactants have been successfully employed. A significant challenge is the relative difficulty of obtaining the olefinic precursors (i.e., 163) for each example. Most cases in Table 2 already have three of the four chiral centers required in 2, so the preparation of the substrates in Table 2 was not trivial.

The work in Table 2, entries A and B, is from Sunagawa of Sumitomo Pharmaceuticals, and is shown in greater detail in Scheme 25.55 Azetidinone (+)-89 (cf. Scheme 14) was esterified and treated with methyl Grignard reagent to give 165, which was protected with the benzyloxycarbonyl (Z) group, and dehydrated to furnish 166. Allylic chlorination followed by cuprous oxide and p-toluenesulfonic acid provided the allylic acohol. Silyl protection of the alcohol furnished the key intermediate 168 in 59% overall yield and seven steps from 89. The optimized hydrogenation conditions shown (168 \rightarrow 169) gave an 84.5% yield and a 13.1:1 ratio of 169 to the α -methyl isomer. Nearly pure 169 was obtained after recrystallization. Treatment of 169 with boron trifluoride etherate in the presence of 1,3-dimethoxybenzene cleaved both the silyl protection and the di-p-anisylmethyl (DAM) groups. Jones oxidation furnished 171, and hydrogenation of the benzyloxycarbonyl and silylation afforded 2, in 29% overall yield (not counting the recrystallization of 169) and 13 steps.

Another significant study in this area was from Merck (Table 2, entry C), and is shown in detail in Scheme 26.56 Azetidinone 172, from earlier studies by Merck, was alkylated to give 173 as a mixture of epimers that was phenylselenated, oxidatively eliminated, and treated with di-*iso*-butyl aluminum hydride to reduce the ester and provide 175. This allylic alcohol was acetonated and desilylated, to furnish the key intermediate 177 in six steps from 172 and 48% overall yield. Hydrogenation of 176 gave predominantly the undesired α -methyl isomer, necessitating the desilylation step. The optimized conditions for the reduction of 177 employed Raney nickel in methanol as solvent, which was speculated to disrupt disadvantageous intramolecular hydrogen bonding between the hydroxyl group and the β -lactam carbonyl, thus enhancing the selectivity. Reintroduction of the silyl protecting group and oxidation afforded 2 in 37% yield and nine steps overall. Separation of the small amount of the α isomer of 178 was not necessary, because later steps of the carbapenem synthesis proceeded more efficiently with the β isomer.

Table	2	Hydrogenation	Λf	Olefinic	Azetidinones	163
TAULE	4.	II vui uzemaliuli	OI.	Oleilinic	Azeuuinones	103

	Substrate 163	Conditions	β: α ratio	Yield 164	Yield 164 <i>→</i> ∠	Refer- ence	Corporate Affiliation
A	H H OTBOMS	H ₂ , 5% Pt-C, Me CN- H ₂ O-Py	13,1:1	85%	56%	55	Sumitomo
В	P DAM	PtO ₂ /MeCN	7.8:1	92%	t	58	Sumitomo
С	HO H H	H ₂ / Raney Ni	20:1	100%	78%	56	Merck
D	HO H H	PtO ₂ / EtOH	2.82:1	t	t	58	Sumitomo
E	0	H₂/ Raney Ni	t	90%	t	60	Indian Inst. Chem. Tech
F	TBDMSO H H CO.H	H ₂ /(<i>R</i>)-BINAP-Ru	87:13	100%	t	61	Nagoya Univ./Sankyo
G	TBDMSO H H OH	H ₂ /(<i>R</i>)-BINAP-Ru	99.9:0.1	100%	t	62	Nagoya Univ.
н	HO H H CO,Me	LiBH(s-Bu) ₃	8:1	77%	56%	63	Sagami

† not given

Scheme 25

Two alternative preparations of 177 were described by Sunagawa of Sumitomo, as shown in Scheme 27,58 in the study that also included the work described in Scheme 25.55 Azetidinone 179, the benzyloxycarbonyl (Z) analogue of 1, was condensed with ethyl 2-thiophenylpropionate under the influence of zinc iodide to provide ester 180 (no yields were provided for any of the transformations shown in Scheme 27). Both ester moieties in 180 were reduced with calcium borohydride generated in situ, and the resulting primary alcohol 181 was acetonated and the thioether was oxidatively eliminated to provide 177. Alternatively, allyl chloride 167 (cf. Scheme 25) was deprotected and oxidized to the primary alcohol 184,

which was acetonated to 185, the benzyloxycarbonyl derivative of 177. This early study described the reduction of the olefins 177, 185, 168, and related analogues by hydrogenation with conventional palladium and platinum catalysis and did not achieve β/α selectivities greater than 8:1 (Table 2, entry D). The thrust of this work was the synthesis of olefinic precursors rather than finesse in the hydrogenation conditions.

Another preparation of 177 was reported by Honda of Hoshi University (Scheme 28).⁵⁹ Azetidinone 186 was homologated with diazomalonate and catalytic rhodium acetate to furnish 187, which was sequentially desilylated, selectively silylated at the hydroxy only, reduced, and partially chlorinated. Acetonide 189 was obtained, and the exocyclic olefin was formed with free radical elimination conditions. Desilylation afforded 177 in 23% overall yield and eight steps.

A related approach (Table 2, entry E) employing acetonides was reported by Rao of the Indian Institute of Chemical Technology in Hyderabad (Scheme 29).60 Azetidinone 190, derived from L-aspartic acid via known procedures, was treated with methyl Grignard reagent followed by mesylation and elimination to give olefin 192. Two methods were developed to prepare the key intermediate 198 from 192. Initially, 192 was hydroxylated at the allylic position, deprotected, and acetonated to furnish 195, which was hydrogenated in

the presence of Raney nickel to afford 198, in 38% isolated yield from 190 and six steps. No attempt was mentioned to quantify any α -methyl impurity from this reaction. Alternatively, 192 was hydroborated with borane followed by hydrogen peroxide, which both stereospecifically reduced the prochiral olefin to the desired configuration and oxidized the allylic position to provide 196 in one flask. Deprotection and acetonation gave 198 in 30% yield and 5 steps from 190. The hydroxyethyl sidechain can be introduced via known chemistry (cf. Scheme 4377 and also Scheme 412).

A very significant motif for the asymmetric reduction of olefins of the type of 163 is the ruthenium(II) BINAP complexes pioneered by Noyori. The initial attempt, with the acid shown in Table 2, entry F61 was greatly improved as shown in entry G,62 by the use of the corresponding allylic alcohol instead of the acid. The substrate in entry G, 175 (also made by Fuentes of Merck; see Scheme 26), was prepared by Noyori's group as shown in Scheme 30.62 Azetidinone 1 was alkylated with a lithium di-2-propenylcuprate, epoxidized, and rearranged with N-diethylaluminum tetramethylpiperidide to give 175 in 76% overall yield and three steps. This compares with a 74% yield and four steps in the Merck synthesis of 175 (Scheme 26).56 The very impressive selectivity on the reduction of 175 to 201 is not surprising in that both the substrate and the catalyst are chiral, and under certain conditions both the azetidinone substrates affected by an achiral reagent, and the BINAP catalyst acting on achiral substrates, have themselves given excellent stereoselectivity.

Shibasaki of the Sagami Chemical Research Center successfully employed L-Selectride® to reduce an Scheme 30

isoprenyl azetidinone (Table 2, entry H and Scheme 31; the first part of this work is very similar to Scheme 5).63 The boron enolate 19, obtained in 55% yield from (R)-3-hydroxybutyric acid (60; see Scheme 11), was alkylated with imine 203 to give 204, which was hydrolyzed and cyclized to furnish alkyne 205.63b A novel palladium catalyzed methoxycarbonylation gave the key intermediate 206.63c Attempts at this stage to effect the stereoselective reduction to the β -methyl side chain by hydrogenation with palladium on carbon, ruthenium triphenylphosphine chloride, and several cationic ruthenium complexes were unsuccessful, giving either low yields or diastereomeric product mixtures. It was found that L-Selectride® in THF with sec-butyl alcohol as cosolvent afforded 207 in 77% yield following protection of the alcohol. Hydrolysis of the methyl ester and debenzylation with sodium in ammonia afforded the desired acid 2 in 12.6% overall yield from 19 in eight steps.

A related alternative approach from the Sagami group is shown in eq. 6.63d In this fragmentary reference, diketene was condensed with (S)-1-phenylethyl imine **208** in the presence of imidazole to give **209**, in 91% yield, and "mainly" the stereoisomer shown. Stereospecific reduction of ketones such as the acetyl on azetidinone **209** are known, 13 and the rest of the chemistry in Scheme 31 is probably applicable to the synthesis of **2** from **209**.

3.3. Other Routes to \(\beta \)-Methyl Azetidinone 2

This section summarizes a variety of additional methods reported for the synthesis of 2.

The original approach to 2, and the early benchmark for these compounds, was the method reported by Shih et al. of Merck in 1984 (Scheme 32).⁵ Treatment of methyl ester 171, readily obtained from earlier work on carbapenems without the β-methyl substituent, with two equivalents of LDA containing one equivalent of HMPA, followed by excess methyl iodide, resulted in a 4:1 mixture of 210 and the desired 211. The mixture was separated by preparative HPLC, and a recycling procedure was employed, epimerizing 210 with acetic acid, which allowed recovery of additional amounts of 211. Hydrolysis of 211 to 2 was accomplished without racemization. The unfavorable isomeric ratio of this simple alkylation, and the use of preparative HPLC to seperate the products, were obviously big drawbacks to the large scale application of this method, and provided the impetus for the many thoughtful methods for the synthesis of 2 discussed in this review, including several highly selective and efficient techniques from teams at Merck.

Another early Merck report described a fairly crude method for the synthesis of 2, and includes little Scheme 32

mention of stereocontrol and only one reaction yield (Scheme 33).64 The symmetric diene 212, derived from 3-methylglutaric acid, was treated with chlorosulfonyl isocyanate and *N*-protected to furnish the [2+2] cycloadduct 213. This azetidinone was subjected to an aldol condensation with acetaldehyde and oxidation with trifluoroacetic anhydride and DMSO to give ketone 214. It is likely that 214 equilibrates at this stage to the *trans* configuration at C-3 and C-4 of the azetidinone, but no specific comment was made to that effect. Reduction with K-Selectride® provided 215, which is drawn as shown by the inventors, although other publications discussed in this review have shown that ketones such as 214 are stereoselectively reduced by bulky borohydride reagents to give the configuration required in 1 or 2 for carbapenem syntheses.¹³ Protection of the secondary alcohol with *ortho*-nitrobenzylchloroformate, ozonolysis, and hydrogenation gave 217, which was carried forward to β-methyl carbapenems. Presumably, this effort hoped to compensate for the lack of selectivity in the side chain methyl with the fact that the β-methyl isomer cyclizes preferentially at a later stage of the carbapenem synthesis.⁵⁷

Moving on to more controlled approaches to 2, Ohno of the University of Tokyo published a highly stereocontrolled preparation of 2, taking advantage of a rigid [4.2.0] bicyclic system (Scheme 34).65 Known chemistry was used to prepare 219 in 30% yield from diacid 218, and the key methylation step to form 220 occured exclusively from the convex face of the bicyclic system giving a single stereoisomer with the putative β-methyl geometry. Lithium borohydride lactone ring opening gave azetidinone 221, however, the nature of the bicyclic system dictated a *cis* azetidinone, with the wrong configuration at C-3 of the azetidinone ring. A four-step sequence was employed to epimerize the C-3 of the azetidinone to the required configuration, involving some functional group manipulation leading to 222, and epimerization with trimethylsilyl triflate and triethylamine to afford 223, with the four contiguous stereocenters correctly configured. Detrimethylsilylation was accomplished with 1N HCl and oxidation with pyridinium dichromate furnished the desired acid 2 in 30%

overall yield and eight steps from 219.

There have been several Diels-Alder type approaches to 2. Meyers, of Colorado State, executed the method in Scheme 35,% in which azetidinone 1 was combined with diene 224, in the presence of zinc chloride, to afford 225 as a 7:3 mixture of epimers in 65% yield. Efforts to improve the β : α ratio of 225, by the use of pure (E)-or (Z)-diene 224, were unsuccessful, and it was shown that 225 equilibrates to an epimeric mixture under the reaction conditions. Although compound 2 was not actually prepared in this study, ozonolysis produced the benzyl ester 226 in 85% yield. Two additional steps afforded the β -methyl carbapenem nucleus. It is noteworthy that only the desired β -methyl diasteromer of 227 is formed, because the α -methyl diastereomer is unreactive during the installation of the phosphonate.

Lactone 234 has been a popular intermediate for the synthesis of 2. This lactone has the four contiguous Scheme 35

Scheme 36

chiral centers of 2, can be easily transformed to 2, and it has been amenable to preparation from several different strategies (Schemes 36, 37, 38, and 39). Flynn, Galt, and Turner, of ICI, prepared 235 via an inverse electron demand Diels-Alder cyclization leading to a stereocontrolled dihydropyran (Scheme 36).67 The example illustrated in Scheme 36 is the nonracemic example from the ICI group. They also studied achiral congeners of 228 and obtained generally higher yields, but of racemic products. However, since the correct enantiomer of 2 is required for biological activity in the carbapenems, the nonracemic version of their method is illustrated here. The 4+2 cyclization of 228 and 229 led to a 1:1 mixture of the diasteromers 230 and 231 (i.e., no chiral induction was observed), along with a small amount of the corresponding cis isomers.67a These isomers were easily separated by crystallization, and the desired 230 was hydrolysed and reduced to 233. Lactone 233 was isolated from a mixture of the four possible reduction products by crystallization in 50% yield from 230 (a direct reduction of 230 to 233 was not possible).67b Deprotection of 233 was troublesome and required harsh acidic conditions causing some racemization, but 234 was isolated pure in 20-30% yield by crystallization. Alcoholysis and DCC coupling gave 235 (no yield given; reference was made to Hatanaka, below).

Hatanaka, of Osaka University, also constructed lactone 234 but by a different approach, employing an acyclic precursor derived from (R)-hydroxybutyric acid, shown in Scheme 37.68 The dianion of methyl (R)-3-hydroxybutyate 36 (R¹=Me) was condensed with N-benzyloxycarbonylimine 236 (R²=benzyl), obtained in 71% yield in a two-flask sequence from 2-trimethylsilyl-1,3-dithiane, to furnish a 2:1 mixture of 237 (desired), and 238. Dithiane 237 was cyclized to 239 with catalytic HCl in methylene chloride, with complete chiral induction at C-3. The C-5 center was epimerized under basic conditions to give 240, and the lactone 241 was generated on treatment with cupric chloride and cupric oxide. Liberation of the protecting groups required harsh acidic conditions, as was the case with Flynn, et al. (Scheme 36), but the N-benzyloxycarbonyl was cleaved quantitatively in refluxing 12 N HCl, to furnish 234. Methanolysis and dicyclohexylcarbodiimide coupling afforded azetidinone 235. The overall yield to 235 was 26% in six steps.

Hatanaka also studied the condensation of t-butyl (R)-3-hydroxybutyate 36 (R^1 =t-Bu) with 236 (R^2 =Bn), and obtained a 4:1 ratio of condensation products 237 and 238, but did not pursue that investigation because of difficulty in synthesizing 36 with R^1 =t-Bu; an inefficient transesterification from the methyl ester was employed, and it was not clear if other approaches to the t-butyl ester were explored. Additionally, Hatanaka investigated this sequence starting from the N-methyloxycarbonylimine 236 (R^2 =Me), and obtained essentially identical yields and ratios to the benzyl carbamates illustrated, but the deprotection to furnish 234 could not be achieved without epimerization of the C-3 methyl group. The ICI approach (Scheme 36) had the same experience with racemization during hydrolysis of the methyl carbamate moiety.

Another route to **234** was published by Fraser-Reid of Duke University, and is shown in Scheme 38.69 This scheme employed a stereospecific photoaddition of methanol and a stereospecific electrophilic amination as key steps. Starting from enone **243**, which was part of a study of the photoaddition of methanol to enones, the Duke researchers found that in the presence of light and a photosensitizer, the addition of methanol occurred stereoselectively, with the major product having the desired configuration for the synthesis of carbapenems (α/β ratio, 8:1 at C-4). Esterification with pivaloyl chloride, and then electrophilic amination with *t*-butoxide followed by dibenzyl azodicarboxylalate (DBAD), afforded pyranone **245**. Olefin **246** was obtained after addition of TMSCH₂MgCl and subsequent elimination with thionyl chloride in pyridine. Hydrogenation and reintroduction of the benzyloxycarbonyl (Z) group furnished pyran **247**, as a 5:1 epimeric mixture at C-2, with the desired α isomer predominating. The ester was reduced, and the resulting alcohol was oxidized and esterified to give **248**. Deoxygenation of the silyl ether, hydrolysis of the *t*-butyl ether, and oxidation provided **241** (cf. Scheme 37; R¹=Me, R²=Bn), an established intermediate for **234** and **2**. The overall yield to **241** in this sequence is 7.4% in 16 steps; based on Hatanaka's method (Scheme 37), the transformation to **2** would require two additional steps and an overall yield from **243** of 5.5%.

Another approach to 2 involving derivatives of 234 and 242 was reported by Sunagawa of Sumitomo Pharmaceuticals involving a selective reduction of the acyclic precursor 261 (Scheme 39).⁷⁰ Enamine 251, obtained by the alkylation of 250, a known precursor to thienamycin, was selectively reduced with catecholborane and NaBH₃CN to give a 98:2 mixture of 253 and 254.^{70a} The bicyclic chelation intermediate 252 was invoked to explain this stereoselective result, with addition of hydride *anti* to the methyl group. Lactonization to 255 was accomplished with anhydrous HCl, and the methyl ester was then exchanged for a benzyl ester to give 256. Ring opening, esterification with methyl iodide, and debenzylation afforded 258, which was cyclized with DCC to give azetidinone 259, with the wrong configuration on the C-3 Scheme 38

hydroxyethyl group. Mitsunobu inversion and saponification furnished the desired diasteromer 235 in racemic form. A nonracemic version of this methodology employed methyl (S)-(+)-3-hydroxy-2-methyl-propionate (260) as the chiral source.^{70b} In several steps that were incompletely described, 261 was obtained from 260, and the catecholborane mediated borohydride reduction gave 262, a nonracemic analogue of 253, with the same 98:2 selectivity seen in the racemic form of this reaction. A judicious selection of protecting groups in 262 allowed a simplified conversion to nonracemic 2, without the ester exchange sequence.

A [2+2] cyclization route to 2 has been elaborated by Terashima of the Sagami Chemical Research Center and Sumitomo Pharmaceuticals (Scheme 40),71 in an approach closely related to the method illustrated in Scheme 9 for the synthesis of 1.19 Imine 264, prepared in three steps in 87% yield from (S)-(+)-3-hydroxy-2-methyl-propionate (260, Scheme 39), was treated with diketene (51) in the presence of 4-methylimidazole to furnish a 15:1 mixture of diastereomeric ketones 265 and 266, which were seperated by silica gel chromatography. The desired 265 was reduced with potassium sec-butyl borohydride to give 266 in a 16:1 ratio with the epimeric alcohol, seperated by silica gel chromatography. Four additional functional group manipulations afforded 2 in 30% overall yield and nine steps from 260.

Fukumoto, of Hoshi University,^{72,73} and Terashima, of Sagami,⁷⁴ have reported cycloaddition routes to 2, shown in Scheme 41, proceeding through the key intermediate isoxazolidine 272, stereoselectively

constructed by intra- or intermolecular 1,3-dipolar cycloadditions. In the intramolecular variation, 72 the chiral alcohol 267, obtained from (R)-methyl 3-hydroxy-2-methylpropionate in two steps and 86% yield, was condensed with crotonic acid to afford 268. Deprotection and oxidation provided aldehyde 269, which was transformed to nitrone 270 as a single isomer, on treatment with N-benzylhydroxylamine. When 270 was heated in refluxing t-amyl alcohol, cycloadduct 271 was formed as a single isomer. Saponification of the lactone followed by silylation and selective hydrolysis of the silyl ester furnished isoxazolidine 272a. After hydrogenolytic ring cleavage, treatment with DCC induced cyclization to azetidinone 274, and alcohol 201 was obtained following silyl functional group adjustments. Azetidinone 201 is known from several other studies and can be readily oxidized to 2 with PDC in 91% yield. 71b.5 Overall, this isomerically clean scheme

has 14 steps and gave an 11.9% yield from the inexpensive chiral starting material.

An earlier report by Fukumoto⁷³ and virtually identical chemistry by Terashima⁷⁴ describe the intermolecular variation of the reaction, by the cycloaddition of **275** with **276** in refluxing benzene to produce **272b**. However, this method gave **272b** as diastereomeric mixtures. Fukumoto isolated **272b** as one of several major isomers by HPLC, whereas Terashima stated in greater detail that four isomers of **272b** were formed in approximately equal amounts, indicating that the chiral center in **275** cannot control the facial selectivity of the cycloaddition. Both Fukumoto and Terashima carried **272b** forward to **201** under similar conditions to those in the Fukumoto intramolecular report.

Nakai (of Fujisawa Pharmaceuticals and the Tokyo Institute of Technology) has demonstrated a double asymmetric aldol condensation route to 2. This approach, shown in Scheme 42, provides highly stereoselective access to the acyclic intermediate 279, which has the four contiguous stereocenters of 2.75 Ketene acetal 277, derived from (S)-methyl 3-hydroxybutyrate, and aldehyde 278, derived from (S)-methyl 3-hydroxy-2-methylpropionate, were condensed under chelation controlled aldol conditions to afford 279 in 75% yield and 50:1 diastereoselectivity. Cyclization was achieved via the hydroxamate 281, obtained after selective silylation and a Lewis acid mediated hydroxylaminolysis. Mesylation and treatment with base produced azetidinone 282. Birch reduction⁷⁶ concomitantly deprotected both the nitrogen and benzyl alcohol, and afforded the primary alcohol 201, a well known intermediate. T1b.5 Oxidation and esterification gave 211, the methyl ester of 2, in 34% overall yield from 277, and eight steps, making this one of the more efficient syntheses described in this review.

The same group also published a modified account that added the hydroxyethyl side chain at a later stage in the synthesis (Scheme 43).⁷⁷ Unfortunately, difficulties were encountered in the introduction of the side chain, and in an apparent loss of enantiomeric purity in the initial aldol reaction. The achiral silyl enol ether 283 was treated with 278 under the chelation controlled aldol conditions as described for the sequence in Scheme 42. Although and this substrate afforded a slightly higher yield and diastereoselectivity, the product 284 was found to be only 92% ee. The cause of the partial racemization was not determined, but obviously could be due to low ee in 278 or to racemization under the reaction conditions. Either case might have been masked in Scheme 42, because the second chiral center (i.e, in 277) may exert some chiral induction that Scheme 42

Scheme 43

would be absent in Scheme 43. Ester **284** was converted to the hydroxamate **286** and cyclized with triphenyl phosphine and dimethyl azodicarboxylate (conditions that were unsuccessfully attempted in Scheme 42), to give azetidinone **287**. At this stage, introduction of the hydroxyethyl side chain was troublesome, and Nakai resorted to treating the enolate of **287** with *tert*-butyldimethylsilyl methyl ketone followed by a rearrangement, which afforded the desired **282** in 57% yield with 37% recovered **287** (the reaction could not be driven to completetion; the yield was 90% based on recovered **287**). More conventional aldol condensations with lithium or zirconium enolates gave inferior yields and diastereomeric product mixtures. Azetidinone **282** was carried through to **211** as shown in Scheme 42,76 but the final product was only of 91% ee. Overall, this scheme gave a 46% yield (based on recovery of **287**) from **283** to **211** in nine steps, but given the loss of enantiomeric purity and the trouble with the late introduction of the side chain, this scheme seems to be inferior to the method described in Nakai's earlier report.

Another synthesis of **201** was reported by Yamamoto of Tohuku University, as shown in Scheme 44.⁷⁸ The α,β -unsaturated ester **288**, derived from methyl (*R*)-3-hydroxy-2-methylpropionate, was treated with the lithium salt of (*R*)-(*N*)-benzyl-(*N*)-phenylethylamine (**289**), to give the conjugate addition product **290** in essentially 100% diastereomeric purity. The lithium enolate of **290** was condensed with acetaldehyde in the presence of methyl aluminum chloride to furnish alcohol **291**, with the four contigous chiral centers required

Scheme 45

in 2, in 80% diastereomeric purity. Silica gel chromatography afforded pure 291, and after two funtional group manipulations, treatment of 292 with ethyl Grignard reagent provided azetidinone 293. Selective desilyation afforded primary alcohol 201 in 31% overall yield and seven steps from 288.

Olefin 295 has been prepared as a key intermediate by several groups (Schemes 45, 46, 47, and 48). Fliri and Mak (Sandoz) approached the problem by stanyl allylation of derivatives of 1, as shown in Scheme 45.79 Thiocarbonate 294, obtained quantitatively from azetidinone 1, was treated with tri-n-butyl crotylstannane to give a 5:1 mixture of diastereomers with the desired 295 predominating. After chromatographic separation, 295 was converted to 2 via oxidation with sodium metaperiodiate and catalytic ruthenium chloride in 83% yield.80 Overall, in terms of length and yield, three steps from 1 and a 35% yield, this is a very competitive scheme. A closely related reaction was reported in a patent by Fujisawa Pharmaceuticals, involving similar allyltins or allylsilyls that were reacted with 1 and its congeners.81 A patent from Kaneka Chemical Industries described the transformation of 295 to aldehyde 297 via ozonolysis (eq. 7).82

Haruta and Kita of Osaka University described another alkyltin approach to 295 (Scheme 46).⁸³ Azetidinone 1 was propargylated with 3-methyl-1-tributylstannlyallene to give 299 as a 1:1 epimeric mixture. Silylation of the nitrogen and the terminal alkynyl position permitted resolution on silica gel chromatography, and 301 was obtained after chromatographic separation of the α -methyl diastereomer. N-desilylation with TBAF followed by alkyl desilylation with potassium carbonate in methanol provided the β -methyl epimer 299b, which was reduced via Lindlar reduction to 295.

Uyeo of Shionogi & Co. also prepared 295 from 1 via an intramolecular Sakurai-type reaction, as shown in Scheme 47.84 Azetidinone 1 was treated with (Z)-2-butenylchlorodimethylsilane 302 in the presence of triethylamine to give azetidinone 303. Exposure of 303 to trimethylsilyltriflate furnished 295 in 84% overall yield from 1 after crystallization. The presumed intermediate was azetidinium ion 304, which undergoes a sterocontrolled rearrangement. An attractive feature of this reaction is that only a single isomer of 295 was formed, and in excellent overall yield.

Liebeskind, of Emory University (and with support from Bristol-Myers), employed a propargyl-cobalt complex to achieve a high degree of selectivity in the formation of 2 (Scheme 48).85 Ketone 305, the

synthesis of which was a partial objective of Liebesdkind's study, but which is also known from other work discussed in this review relating to preparations of 1,86 was propargylated and selectively desilylated with silver nitrate to afford 306, as a 1:1 mixture of epimeric alcohols. Propargylate 306 was complexed to hexacarbonyldicobalt, and subsequent reduction with borane gave 309 as a single epimer, via the intermediacy of the propargyl cation 308, which was believed to be stabilized by the cobalt complexation, and allowed a stereocontrolled approach of the hydride. Treatment with ceric ammonium nitrate effected cobalt decomplexation and nitrogen deprotection in 50% yield (intermediate 299b is not shown), and then Lindlar reduction to the olefin (i.e., 295) and oxidative cleavage80 furnished 2.

Workers at Sankyo & Co. reported a very brief synthesis of 2 from 1 involving a permanganate oxidation of 311 to 2 (Scheme 49).80b Azetidinone 1 was condensed with (Z)-3-bromomethyl-3-penten-2-one (310) and the resultant olefin was oxidatively cleaved to 2, although in rather poor yield. It is noteworthy that the condensation proceeds with apparently complete chiral induction to give only the β -methyl in 311. Overall, this scheme provides a 15.3% yield, but given that just two steps are involved, it is potentially an attractive method.

Researchers at Takasago International Corp. have developed several notably efficient routes to 2. In the method shown in Scheme 50, malonic diesters were prepared from azetidinone 1 and efficiently decarboxylated, providing a very rapid approach.⁸⁷ In the first step, 1 was alkylated with the enolate of diallyl methylmalonate generated from sodium hydride in DMF. The resulting diester 312 was *N*-protected, and then decarboxylated with catalytic palladium(0) and deprotected on dilute acidic workup to give 2 in 88% diastereomeric excess and 48% overall yield. There were several other methylmalonate esters disclosed in the specification, including ethyl, benzyl, and mixed *t*-butyl-ethyl malonated, but the allyl malonate 311 gave the best yields and diastereoselectivity in the last step. Likewise, other *N*-protecting groups studied included benzyl, *para*-anisyl, dimethylphenylsilyl, and triethylsilyl, but again in the Pd(0) dealkylation, the *N*-TBDMS function gave the best diastereoselectivity and yields. Attempts to perform the dealkylation, under a variety of Scheme 50

B/a. 94:6

conditions, on 312 or its corresponding alternative esters or the diacid gave, in each case reported, a predominance of the α -methyl isomer of 2.

A group at Merck has published a conceptually related approach to 2 illustrated in Scheme 51.88 Azetidinone 1 was coupled to 2,2,5-trimethyl-1,3-dioxan-4,6-dione 314 and N-protected with TBDMSCl, to furnish 315 (R=TBDMS). Hydrolytic ring opening of 315 afforded diacid 316 (R=TBDMS). The key decarboxylation step was accomplished with catalytic formic acid in refluxing ethyl acetate. The crude yield had a 94:6 ratio of β : α isomers. Selective removal of the N-silyl group was accomplished by treatment with aqueous sodium hydroxide. After crystallization, 2 was obtained in >99% diastereomeric purity in 58% overall yield and five steps. Attempts to decarboxylate 316 with R=H gave predominantly an undesired ring opened byproduct, presumably because the transition state has a different conformation than the case with a bulky protecting group on the lactam nitrogen.

Scheme 51

Another process from Takasago employed an asymmetric hydroformylation with chiral binapthyl rhodium complexes (Scheme 52).89 Azetidinone 1 was sulfinylated to furnish 317 and alkylated with vinyl magnesium chloride to provide 318. The key step was a rhodium catalyzed hydroformylation, with 2 mole % (R,S)-binaphos/rhodium catalyst and high pressures of carbon monoxide and hydrogen, to give 297, which was easily oxidized to the desired product 2 with hypochlorite. A number of binaphthyl type catalysts were disclosed in the patent, but experimental data were provided only for the (R,S)-binaphos ligand shown. The overall yield for this method is 53% with four steps, all of which seem suitable for industrial scale application.

Scheme 52

4. Conclusions

Several of the methods described in this review demonstrate high efficiency and selectivity for the synthesis of azetidininones 1 and 2. Attractive routes deriving chirality from inexpensive chiral starting materials, as well as efficient chiral induction methods from chiral catalysts, have been reported. Given the potential importance of second generation carbapenems with the 1-β-methyl substituent in the arsenal of antibacterial drugs, inexpensive approaches to 1 and 2 are imperative. Hopefully this review has put the substantial literature on the synthesis of 1 and 2 into perspective.

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